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Walter C. Grallitsch (Depositor's name)
Walter C. Grallitsch (Signature)
12 JAN 2006 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/799,050 03/12/2004 Guohua Xiao 021175-003 6734
4110/002027

TITLE OF INVENTION: SELF-ADJUSTING OPTICAL ADD-DROP MULTIPLEXER AND OPTICAL NETWORKS USING SAME

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
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nonprovisional NO \$1400 \$300 \$1700 01/12/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
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TRAN, DZUNG D 2638 398-083000

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- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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2.
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(A) NAME OF ASSIGNEE

Avanex Corporation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Fremont, California

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature Walter C. Grallitsch

Date 12 JAN. 2006

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ATTORNEYS AT LAW



3040 Post Oak Blvd, Suite 1500
Houston, TX 77056-6582
TEL 713.623.4844
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DATE: January 12, 2006
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COMPANY: USPTO
FROM: Walter C. Grollitsch
PAGE(S) with cover: 3
ORIGINAL TO FOLLOW? ☐ YES ☒ NO

ISSUE FEE TRANSMITTAL

TITLE: SELF-ADJUSTING OPTICAL ADD-DROP MULTIPLEXER AND
OPTICAL NETWORKS USING SAME
U.S. SERIAL NO.: 10/799,050
CUSTOMER NO.: 47389
FILING DATE: March 12, 2004
INVENTOR: Guohua Xiao
EXAMINER: Dzung D. Tran
GROUP ART UNIT: 2638
CONFIRMATION NO.: 6734

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